



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lawrence

Application No.: 10/074,584

Filed: February 12, 2002

Title: RISK MANAGEMENT  
CLEARINGHOUSE

Attorney Docket No.: G08.018

Group Art Unit: 2165

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ORIGINALLY FILED

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Commissioner for Patents  
Box **MISSING PARTS**  
Washington, DC 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of the Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- (X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- (X) Statutory basic filing fee (Utility) = **\$740.00**
- (X) Additional claim fees = **\$928.00**
- (X) Missing Parts Surcharge = **\$130.00**
- ( ) Extension of Time Surcharge =

A Credit Card Payment Form for the amount of **\$1798.00** is enclosed. In addition, the Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- (X) Credit any overpayment.
- (X) Charge any additional fees required under 37 CFR 1.16 and 1.17.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231.

Date of Deposit: May 1, 2002

Typed Name: Jill Holme

Signature: Jill Holme

Respectfully submitted,

By Joseph P. Kincart

Joseph P. Kincart  
Reg. No. 43,716  
(203) 972-3460 / Phone  
Date: May 1, 2002



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Page 1 of 1

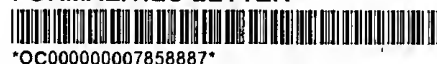
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,584	02/12/2002	David Lawrence	G08.018

CONFIRMATION NO. 8233

FORMALITIES LETTER



\*OC000000007858887\*

Buckley, Maschoff, Talwalkar & Allison LLC  
5 Elm Street  
New Canaan, CT 06840

Date Mailed: 04/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/13/2002 STEUMEL1 00000055 10074584

01 FC:101	740.00 OP	FILED UNDER 37 CFR 1.53(b)  <i>Filing Date Granted</i>
02 FC:103	396.00 OP	
03 FC:102	252.00 OP	
04 FC:104	280.00 OP	
05 FC:105	130.00 OP	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$928.
  - \$396 for 22 total claims over 20.
  - \$252 for 3 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1798.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

be used for all correspondence after initial filing)

Application Number	10/074,584
Filing Date	February 12, 2002
First Named Inventor	Lawrence, David
Group Art Unit	2165
Examiner Name	Not yet assigned
Attorney Docket Number	G08.018

Total Number of Pages in This Submission

7

**ENCLOSURES (check all that apply)**

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Credit Card Payment Form for \$1,798.00. |
|---|---|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Joseph P. Kincart
Signature	<i>JP Kincart</i>
Date	May 1, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: May 1, 2002

Type or printed name Jill Holme

Signature

*Jill Holme*

Date

May 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.